

UNITED STATES DISTRICT COURT

**** PLEA MINUTES****

Date: 05/19/2014

Time: 5:30 p.m.- 6:20 p.m.

Case #: 1:14CR00188-001

Interpreter/Lang: N/A

Pre-Indictment Plea Hearing:

- Deft waived right to an Indictment, was FA, and PG to **Count 1** of the **Criminal Information** - - Plea ACCEPTED.
 - Sentencing scheduled for: **08/12/2014 at 11:00 a.m.**
-

Honorable Rebecca Beach Smith, Chief United States District Judge, Presiding

Richard Banke
Courtroom Deputy

R. Montgomery
Court Reporter

UNITED STATES OF AMERICA

v.

Credit Suisse AG

Deft appeared: ☒ in person (by a corporate rep.)
☒ w/ counsel ☐ w/o counsel
☐ through counsel

Edmund Power/Andrew Hruska/Christopher Wray/Michael Pauze
Counsel for Deft

Mark Lytle/Nanette Davis/Mark Daly
Counsel for Government

Matter called for: Pre-Indictment Plea

Filed in open court:

☒ Waiver ☐ Information ☒ Plea Agreement ☒ Statement of Facts
☐ Motion/Order to Dismiss

Plea:

☒ Deft FA and entered Plea of Guilty as to Count 1 of the **Criminal Information** - - Plea ACCEPTED.

☐ Motion for Dismissal of Count (s) by ☐ US or ☐ Deft
☐ Order entered in open Court ☐ Order to follow

☒ Case continued to: **08/12/2014 at 11:00 a.m.** for Sentencing

- Defense counsel directed to submit revised exhibit a to the plea agreement as directed from the bench within 48 hours.
- Parties waive PSR.
- Order to follow regarding the deadlines for filing any position papers if parties deem them necessary.